

polynomial of A must be x [1, Th. 6, p. 204]. Therefore, $A = 0$, $a_i = 0$ for $i = 1, \dots, n$, and K is formally real. (Note: since K is a principal axis field, the symmetric matrix A is similar to a diagonal matrix over K . Consequently, all the eigenvalues of A in Ω are in K , or $(a_1^2 + \dots + a_n^2)^{1/2} \in K$. We shall later have reason to refer to this fact.)

It remains to be shown that $K_R \subseteq K$, so let $\lambda \in K_R$. Since K is also formally real, λ must be the eigenvalue of some symmetric matrix with entries in K [4, Satz 3.3, p. 231]. By hypothesis, such a matrix is similar to a diagonal matrix over K . Therefore, $\lambda \in K$.

We conclude with the observation that if K is a principal axis field, and if A is an n by n symmetric matrix with entries in K , then there exists an orthogonal matrix P (i.e., $P^{-1} = P'$, the transpose of P) with entries in K such that $P^{-1}AP$ is diagonal. We know that K has to be formally real, so K must be an ordered field [2, Cor. 2, p. 274]. An inner product can be defined on K^n , in the usual way, and it will satisfy all the axioms of a real inner product. By the remark at the end of paragraph three in the proof of the theorem, square roots of sums of squares exist in K . Hence, we can define a norm on K^n in the standard way. The Gram-Schmidt process will produce an orthonormal basis of each eigenspace of A , and, since A is similar to a diagonal matrix over K , the union of these bases will provide an orthonormal basis for K^n [1, Th. 2, p. 187]. Then P may be chosen as the matrix whose columns are these basis vectors.

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On the Power Sums of the Roots of a Polynomial

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The sums of the k th powers of the roots of a polynomial are investigated here. Results on these are usually obtained from the theory of symmetric functions (van der Waerden [3]) or from Galois theory (Marcus [2]). Here, several results are obtained quickly and simply by the use of a theorem of linear algebra. That theorem describes the Jordan form of a matrix.

Let D be an integral domain and Q its quotient field. Let n be in \mathbf{Z}^+ , f be in $D[x]$, f be monic and $\deg(f) = n$. So

$$f = x^n + a_{n-1}x^{n-1} + \dots + a_0,$$

for some a_0, \dots, a_{n-1} in D . Let E be an extension field of the splitting field of f over Q . Let the n roots of f in E , respecting multiplicities, be r_1, \dots, r_n . Let k be in \mathbf{Z}^+ and let $s_k(f)$ be the sum

of the k th powers of the roots of f . That is,

$$s_k(f) = r_1^k + \cdots + r_n^k.$$

The quantity $s_k(f)$ will be investigated by linear algebra.

Recall the **companion matrix** of f , denoted by C_f . This is the following $n \times n$ matrix over D :

$$C_f = \begin{bmatrix} 0 & 1 & & 0 \\ & 0 & \ddots & 1 \\ & 0 & & 0 \\ -a_0 & \cdots & -a_{n-2} & -a_{n-1} \end{bmatrix}$$

The reason for introducing this matrix is the following standard (and easily proven) fact (see Herstein [1, p. 265]):

characteristic polynomial of $C_f = f$.

Since the characteristic polynomial of C_f (namely, f) splits completely over E (by construction), C_f can be brought to Jordan form by matrices over E (see Herstein [1, p. 258]). That is, there is an $n \times n$ invertible matrix, P , over E and an $n \times n$ matrix in Jordan form, J , over E such that

$$PC_fP^{-1} = J.$$

Among other things, J is upper triangular and its main diagonal consists of the roots of the characteristic polynomial, f , with multiplicities respected. That is, the main diagonal consists of r_1, \dots, r_n .

Using the last equation, letting k be in \mathbf{Z}^+ , and taking powers and matrix traces give

$$\begin{aligned} \text{tr}(J^k) &= \text{tr}\left((PC_fP^{-1})^k\right) \\ &= \text{tr}(PC_f^kP^{-1}) \\ &= \text{tr}(C_f^k). \end{aligned}$$

The previously mentioned properties about J give

$$\begin{aligned} \text{tr}(J^k) &= r_1^k + \cdots + r_n^k \\ &= s_k(f). \end{aligned}$$

Combining these last two results gives the main result of this note, as stated in the following theorem.

THEOREM. For k in \mathbf{Z}^+ , we have

$$s_k(f) = \text{tr}(C_f^k).$$

Remarks.

- 1) Since C_f is immediately gotten from f , this theorem gives a simple way to calculate $s_k(f)$, the sum of the k th powers of the roots of f . The speed of this calculation depends on how fast one can multiply matrices over D . This can be compared with the algorithms offered by the theory of symmetric functions (see van der Waerden [3]).
- 2) Since the entries of C_f are only $0, 1, -a_0, \dots, -a_{n-1}$, this theorem shows at once that $s_k(f)$ is in $\mathbf{Z}[a_0, \dots, a_{n-1}]$, the ring generated by a_0, \dots, a_{n-1} . In particular, it shows that $s_k(f)$ is in D . This can be compared with the usual proofs obtained from the theory of symmetric functions (see van der Waerden [3]) or Galois theory with the theory of algebraic integers (see

Marcus [2]).

3) The theorem can be used to generate identities valid in *any* ring. For example,

$$\begin{aligned} r_1^2 + \cdots + r_n^2 &= (r_1 + \cdots + r_n)^2 - 2(r_1 r_2 + \cdots + r_{n-1} r_n); \\ r_1^3 + \cdots + r_n^3 &= (r_1 + \cdots + r_n)^3 - 3(r_1 + \cdots + r_n)(r_1 r_2 + \cdots + r_{n-1} r_n) \\ &\quad + 3(r_1 r_2 r_3 + \cdots + r_{n-2} r_{n-1} r_n). \end{aligned}$$

The above results can be extended to the case $k=0$ or k a negative integer, when a_0 is nonzero. This would involve taking the inverse of the companion matrix. (The latter is easily obtained, however, by any of several methods, e.g., matrix adjoints or the Cayley-Hamilton Theorem. Also, it is not too hard to see that this inverse closely resembles the original companion matrix.)

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On the Laplacian

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In various applied mathematics courses one appearance of the Laplacian operator ∇^2 is in the study of heat distributions. If u is a heat distribution in space, then $\nabla^2 u = 0$ if and only if u is a steady-state distribution, one that could be maintained indefinitely inside a box with suitable boundary conditions.

In rectangular coordinates the Laplacian of u is

$$\nabla^2 u = \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + \frac{\partial^2 u}{\partial z^2}.$$

Careful use of the chain rule gives

$$\nabla^2 u = \frac{\partial^2 u}{\partial r^2} + \frac{1}{r} \frac{\partial u}{\partial r} + \frac{1}{r^2} \frac{\partial^2 u}{\partial \theta^2} + \frac{\partial^2 u}{\partial z^2}$$

and

$$\nabla^2 u = \frac{\partial^2 u}{\partial \rho^2} + \frac{2}{\rho} \frac{\partial u}{\partial \rho} + \frac{1}{\rho^2 \sin^2 \phi} \frac{\partial^2 u}{\partial \theta^2} + \frac{1}{\rho^2} \frac{\partial^2 u}{\partial \phi^2} + \frac{\cot \phi}{\rho^2} \frac{\partial u}{\partial \phi}$$

as the correct formulas for the Laplacian in cylindrical and spherical coordinates [1].